

ECOSTRESS Collection 2 Level 1 to 4 Tiled Products

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Freeborn¹, Simon Hook¹

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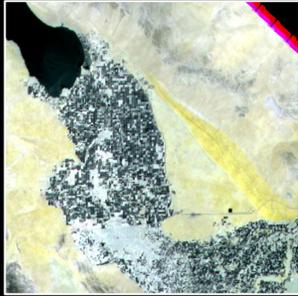
³University of San Francisco

⁴Seoul National University



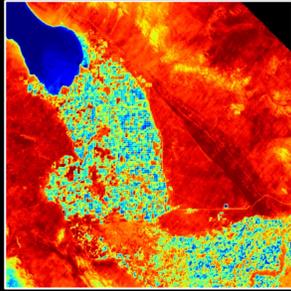
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L1CT_RAD



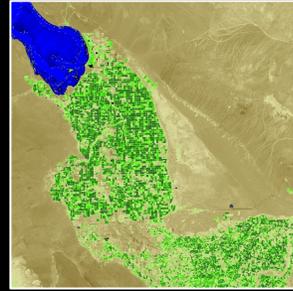
Radiance

L2T_LSTE



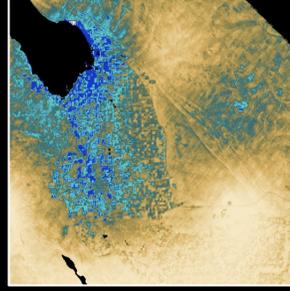
Surface Temperature

L2T_STARS



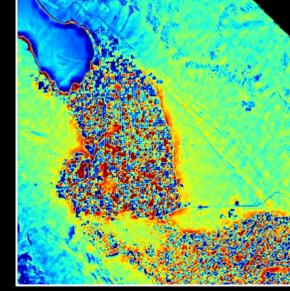
NDVI/Albedo

L3T_SM



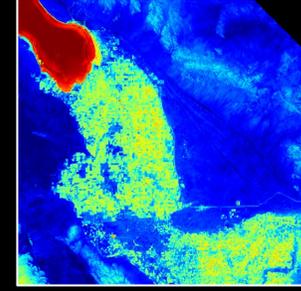
Soil Moisture

L3T_MET



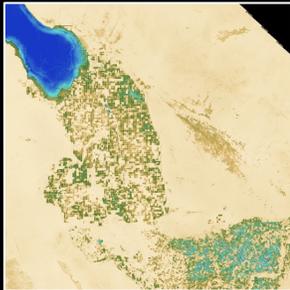
Meteorology

L3T_SEB



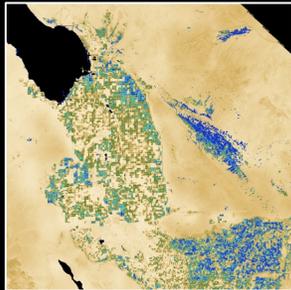
Surface Energy Balance

L3T_JET



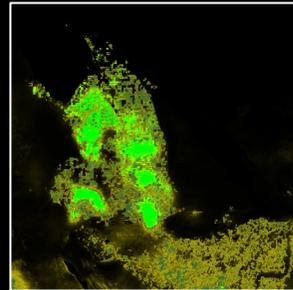
Evapotranspiration

L4T_ESI_PTJPL



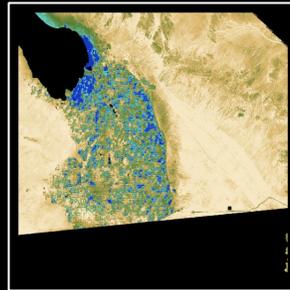
Evaporative Stress Index

L4T_WUE



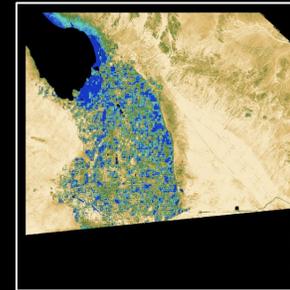
Water Use Efficiency

L3T_ET_ALEXI



DisALEXI Evapotranspiration

L4T_ESI_ALEXI



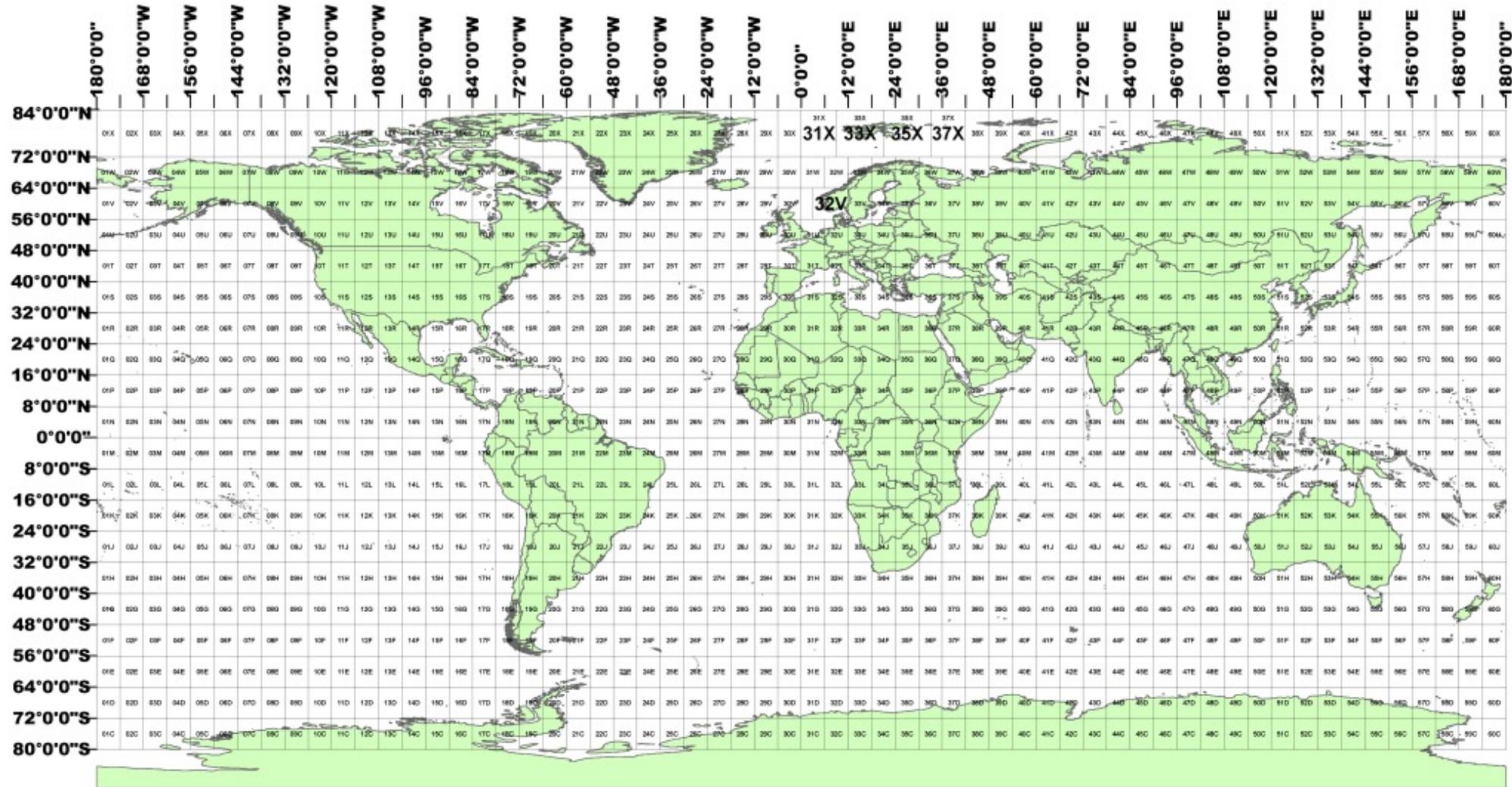
DisALEXI Evaporative Stress Index

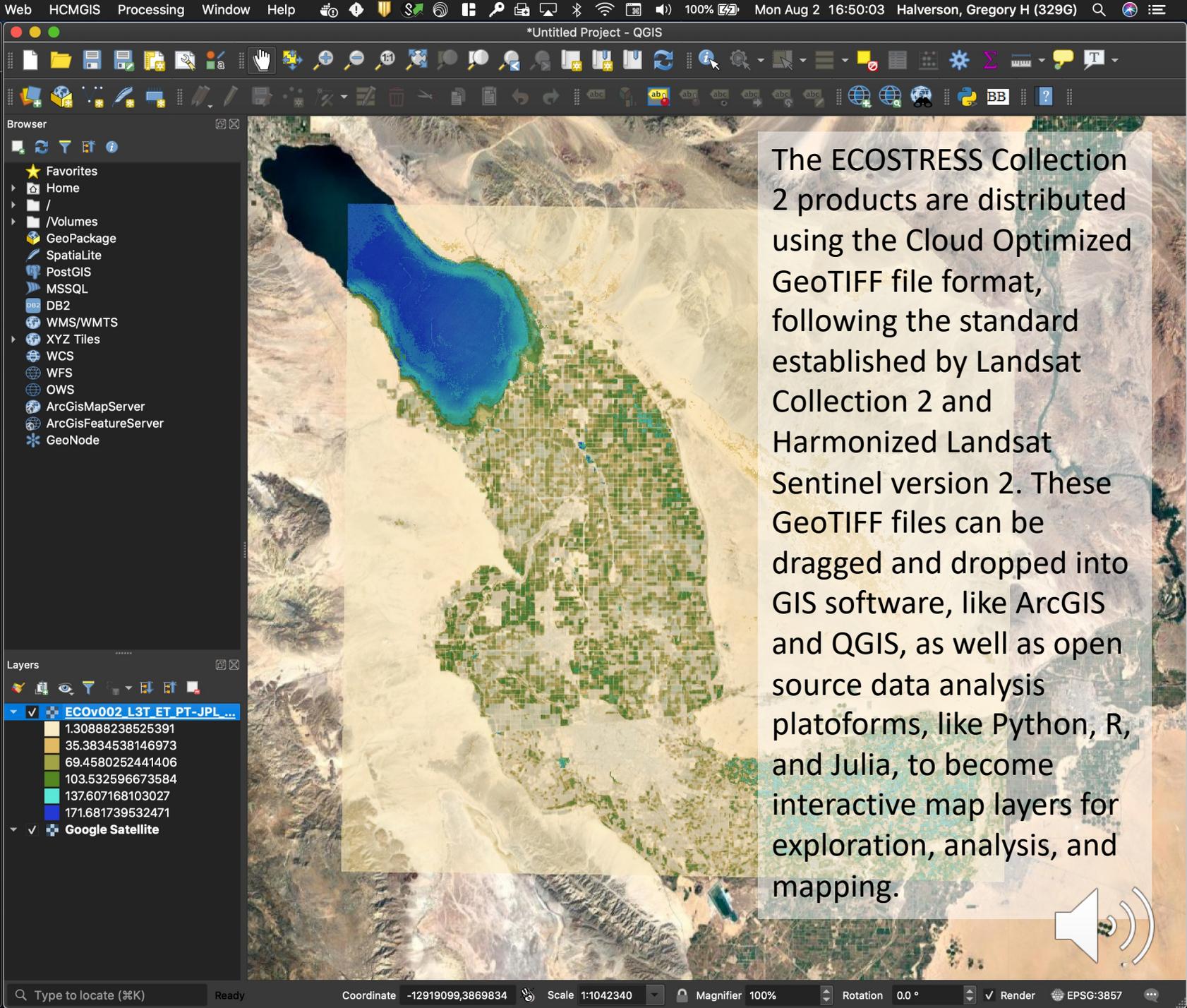
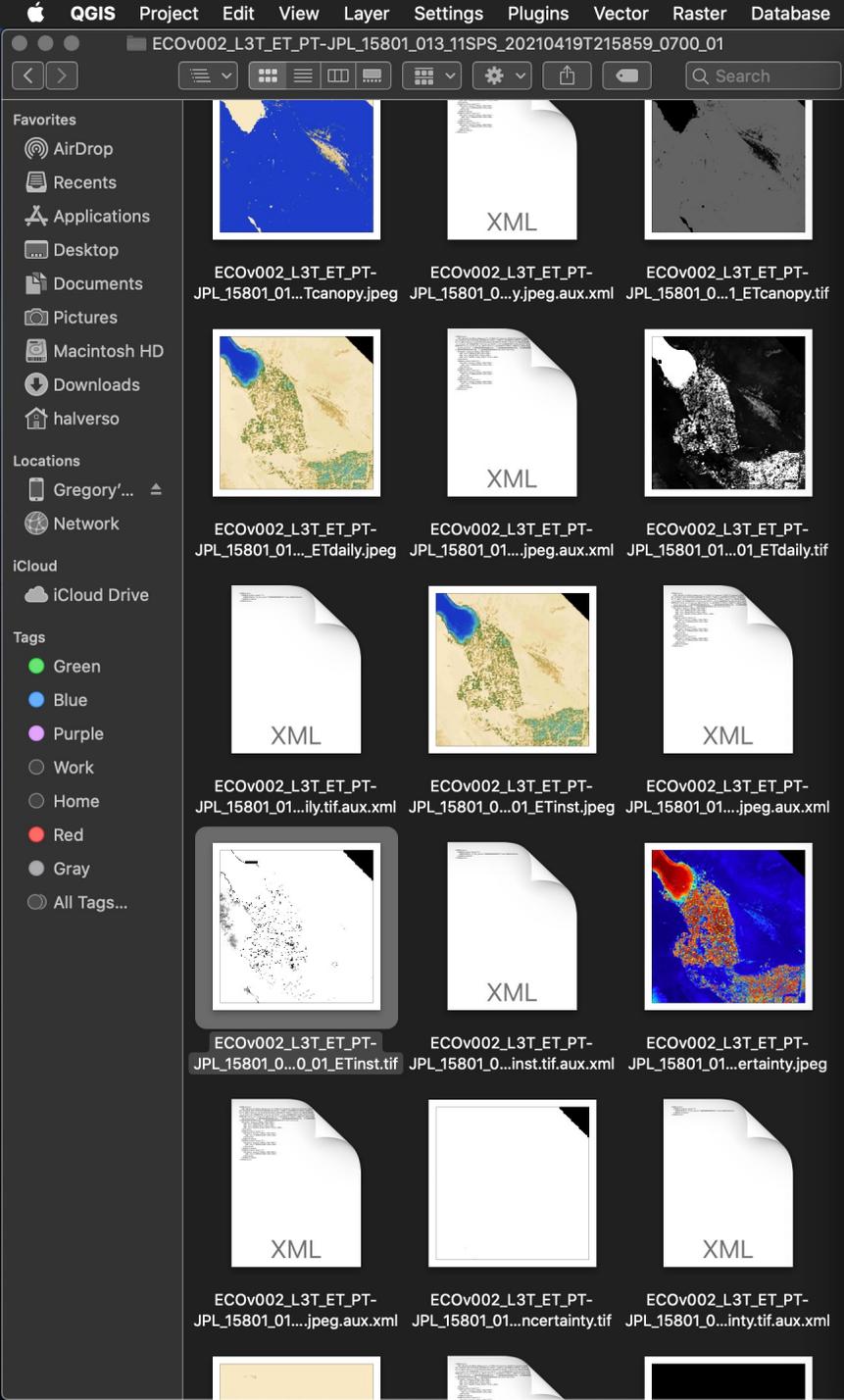
We have comprehensively revised the ECOSTRESS data products with improved algorithms and a new more accessible data format.

These products will contain additional key data layers required for modeling surface energy balance, including NDVI, albedo, soil moisture, air temperature, humidity, and net radiation.



The Collection 2 products use the Sentinel tile grid, following Harmonized Landsat Sentinel. This tile grid is a modification of the Military Grid Reference System, with each tile covering 100 km by 100 km with an additional 4.9 km overlapping edge (109.8 km x 109.8 km total). The Collection 2 products use a 70 m grid in local UTM projection for each tile.





ECOV002_L3T_ET_PT-JPL_15801_013_11SPS_20210419T215859_0700_01

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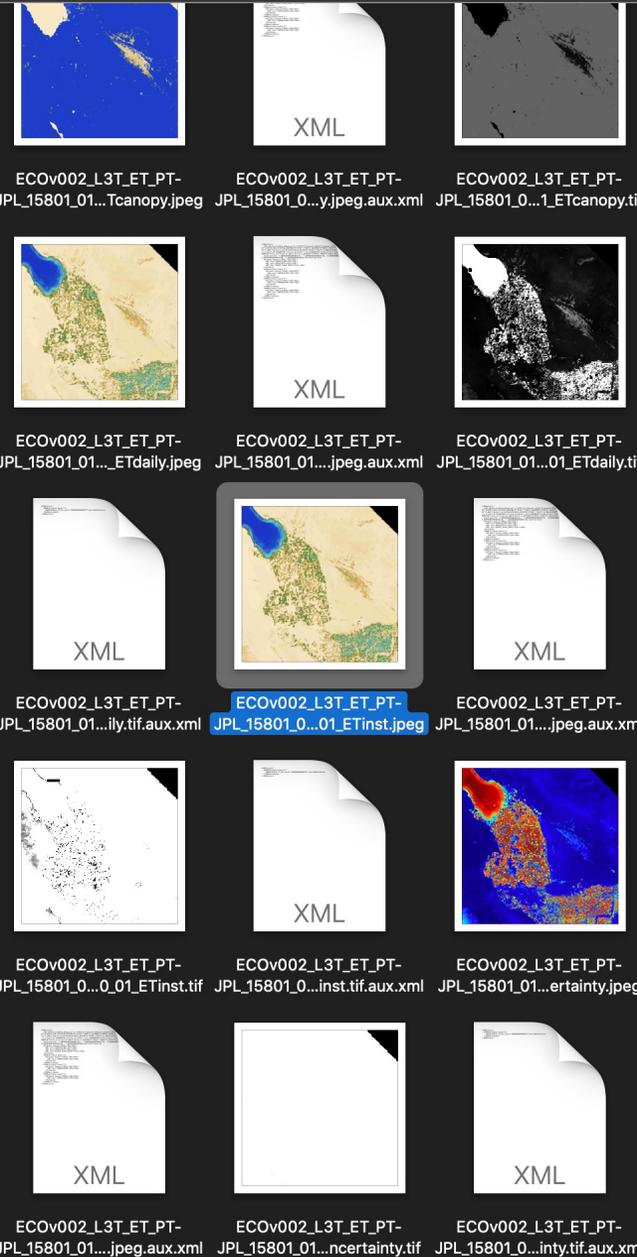
- Gregory'...
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iCloud

- iCloud Drive

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- Red
- Gray
- All Tags...



ECOV002_L3T_ET_PT-JPL_15801_01...Tcanopy.jpeg XML ECOV002_L3T_ET_PT-JPL_15801_01...1_ETcanopy.tif

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 - ECOV002_L3T_ET_PT-JPL
 - Source Image: /Users/halverso/data/

Layers

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 - Announcements
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 - Gallery
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 - Borders and Labels (Outdated)
 - Places (Outdated)
 - Roads (Outdated)
 - Terrain

Google Earth Pro



The tiled products also include GeoJPEG preview images that can be dragged and dropped into Google Earth.

Image Landsat / Copernicus

Google Earth

32°55'55.87" N 115°20'24.74" W elev -35 ft eye alt 78.29 mi

L1CT_RAD

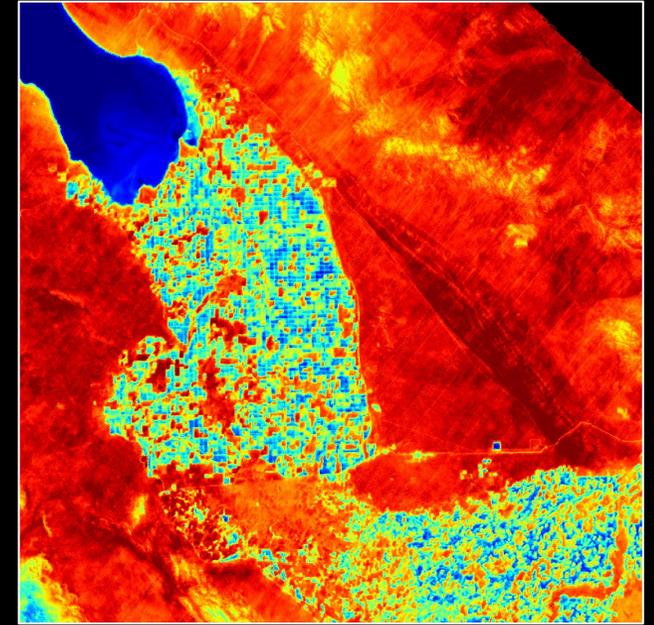


The L1CG RAD, L2G LSTE, and L2G CLOUD gridded products are processed as a group by ingesting and resampling the L1B RAD, L2 LSTE, and L2 CLOUD swath products and resampling them by nearest neighbor to a globally snapped 0.0006° grid. The L2 LSTE product is resampled and repackaged as the L2G LSTE product. The L2 CLOUD product is resampled and repackaged as the L2G CLOUD product. The L1B RAD product is resampled and repackaged as the L1CG RAD product, and it contains the cloud mask from L2G CLOUD as a quality layer. The L1CT RAD tiled products are subset from the L1CG RAD product and resampled to the 70 m UTM grid in each tile. The L2T LSTE product follows the same tiling procedure, resampled from the L2G LSTE product.

The L2G LSTE and L2T LSTE surface temperature (ST) products distribute ECOSTRESS bottom-of-atmosphere ST in Kelvin as the land-surface temperature (LST) layer, though valid estimates of both land and water surface temperatures are provided. The uncertainty of the ST estimate is provided as LST_err, and the broadband emissivity associated with this temperature is given as EmisWB. The low-level QC flag from the L2 LSTE product is resampled here. Please refer to the L2 LSTE user guide for interpretation of this quality flag. The view zenith angle of the observation is given in degrees as view_zenith. And the elevation in meters of the surface observed is included as height, taken from the SRTM.

Name	Type	Units
radiance_1	float32	$W m^{-2} sr^{-1} \mu m^{-1}$
radiance_2		
radiance_3		
radiance_4		
radiance_5		
data_quality_1	uint16	quality flag
data_quality_2		
data_quality_3		
data_quality_4		
data_quality_5		
cloud	uint8	mask
water		

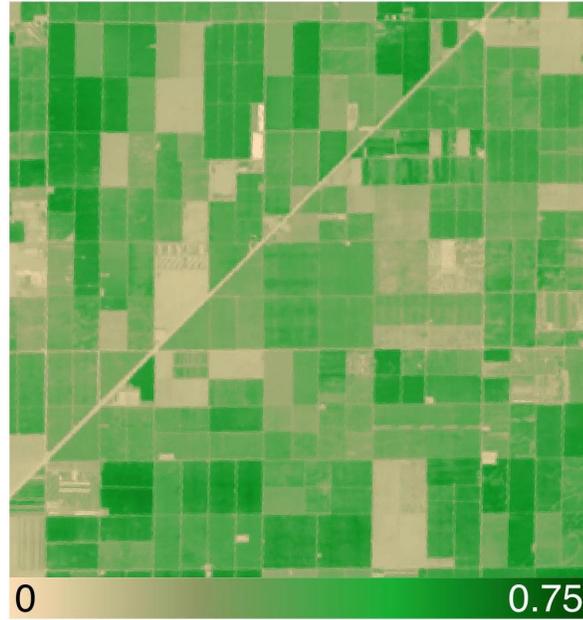
L2T_LSTE



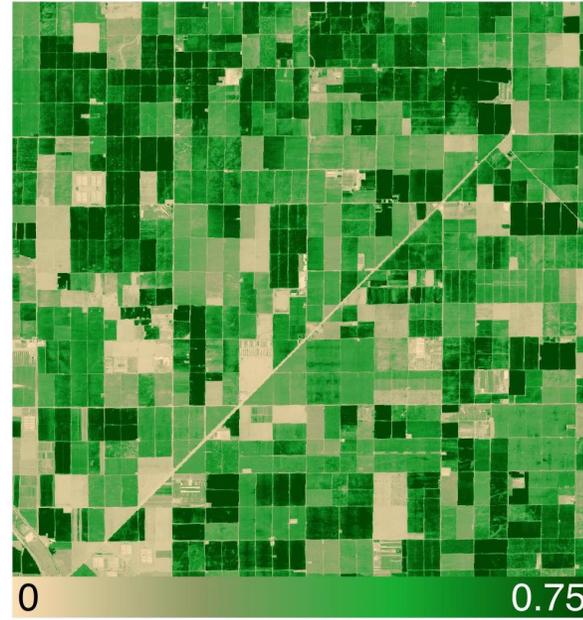
Name	Type	Units
LST	float32	Kelvin
LST_err		Kelvin
EmisWB		unitless: 0 to 1
height		meters
view_zenith		degrees
QC	uint16	quality flag
cloud	uint8	mask
water		mask

A major challenge in using a thermal sensor to estimate evapotranspiration is co-incident high-resolution NDVI and albedo. These land surface properties are highly sensitive to abrupt changes, such as harvest and fires, so any temporal lag in these inputs can result in large errors in evapotranspiration output.

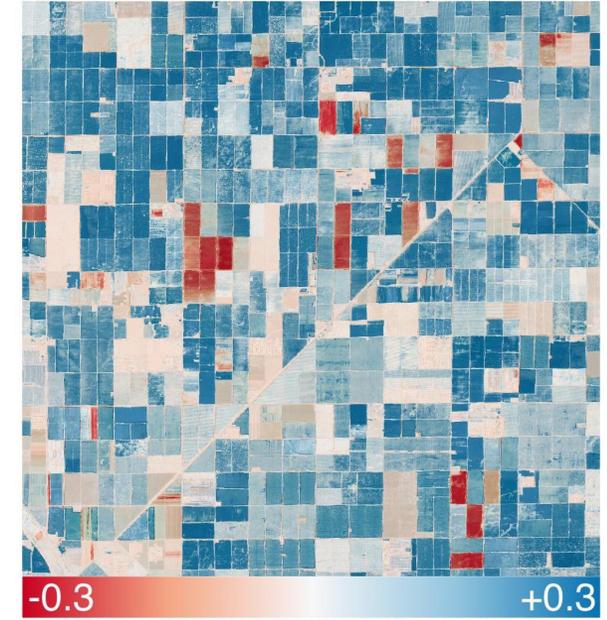
Landsat NDVI 7 Days Prior



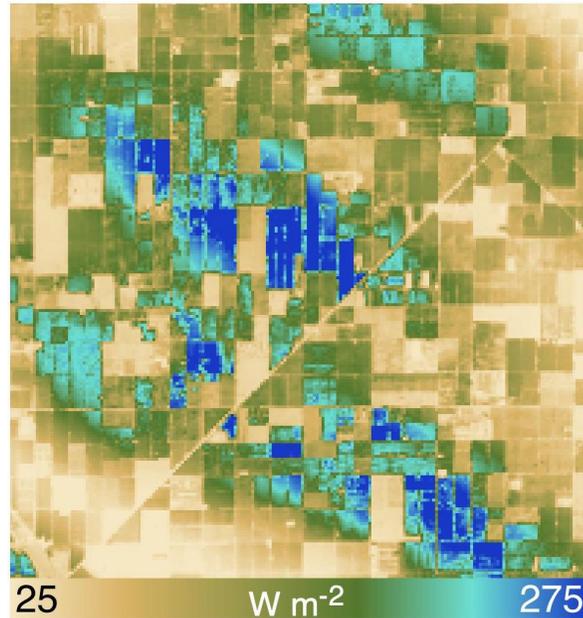
Sentinel NDVI on Same Day



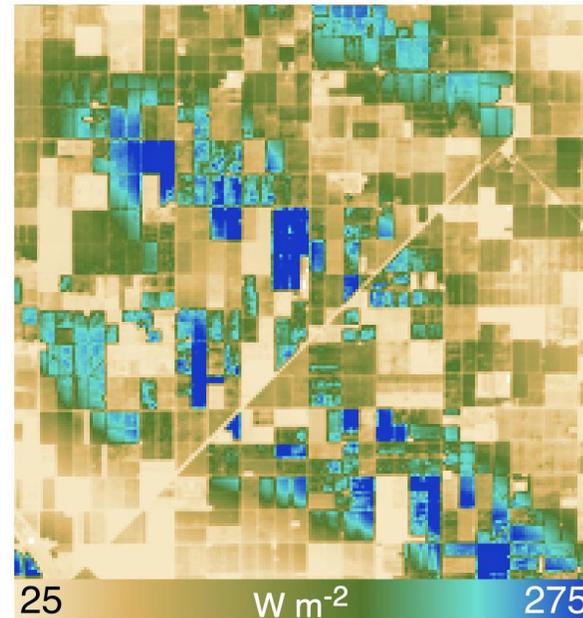
Difference in NDVI



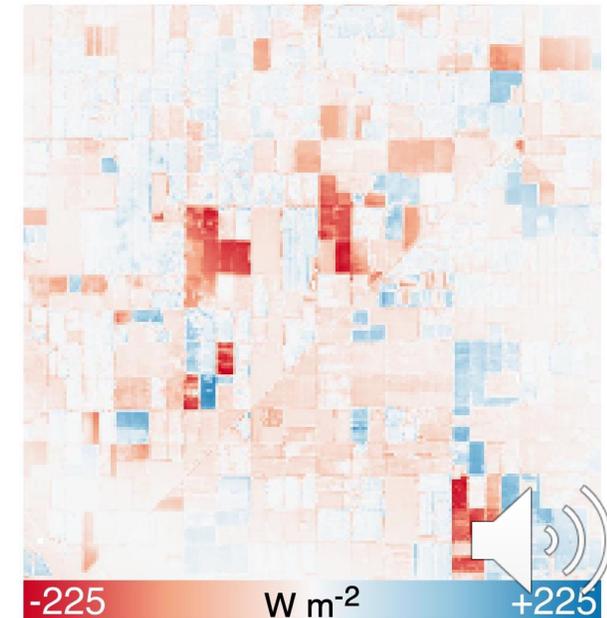
LE from Landsat 7 Days Prior



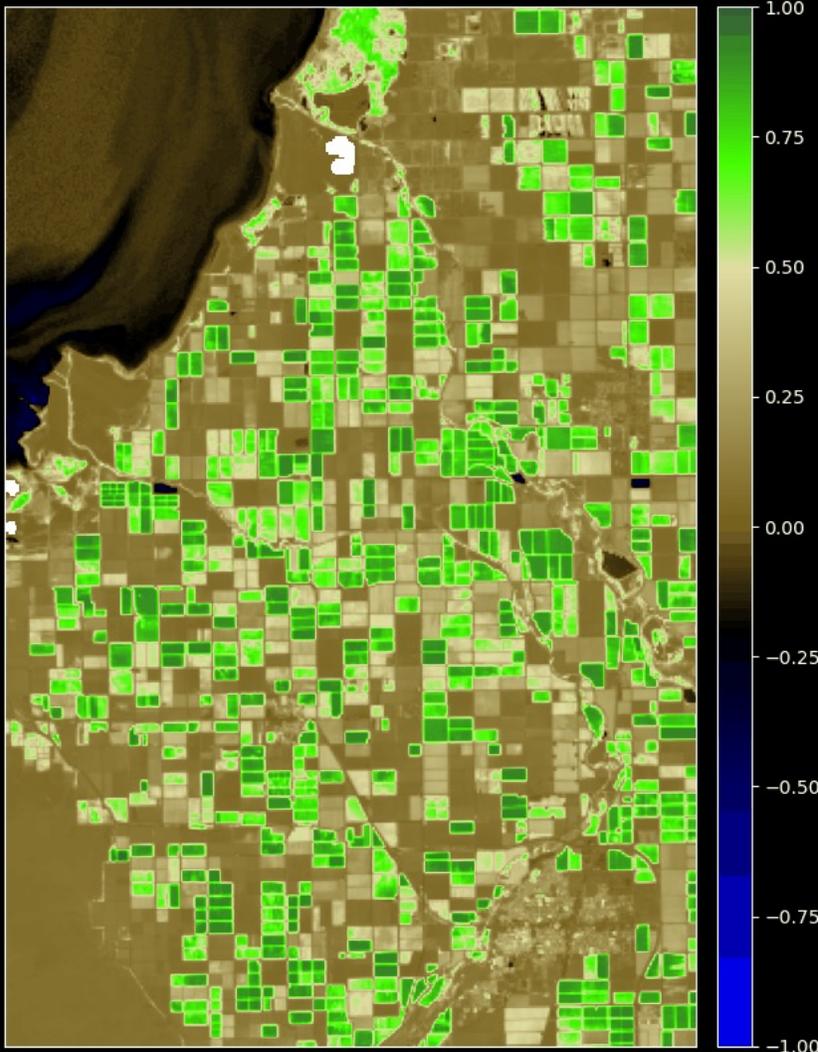
LE from Same-Day Sentinel



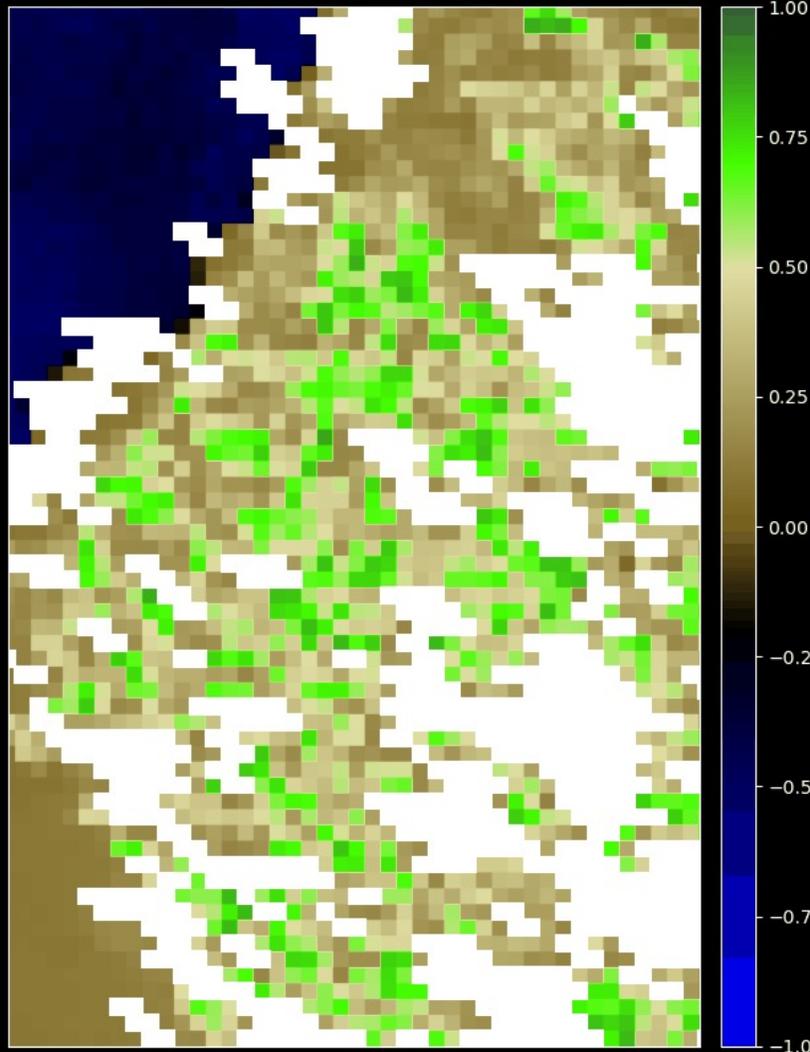
Difference in LE



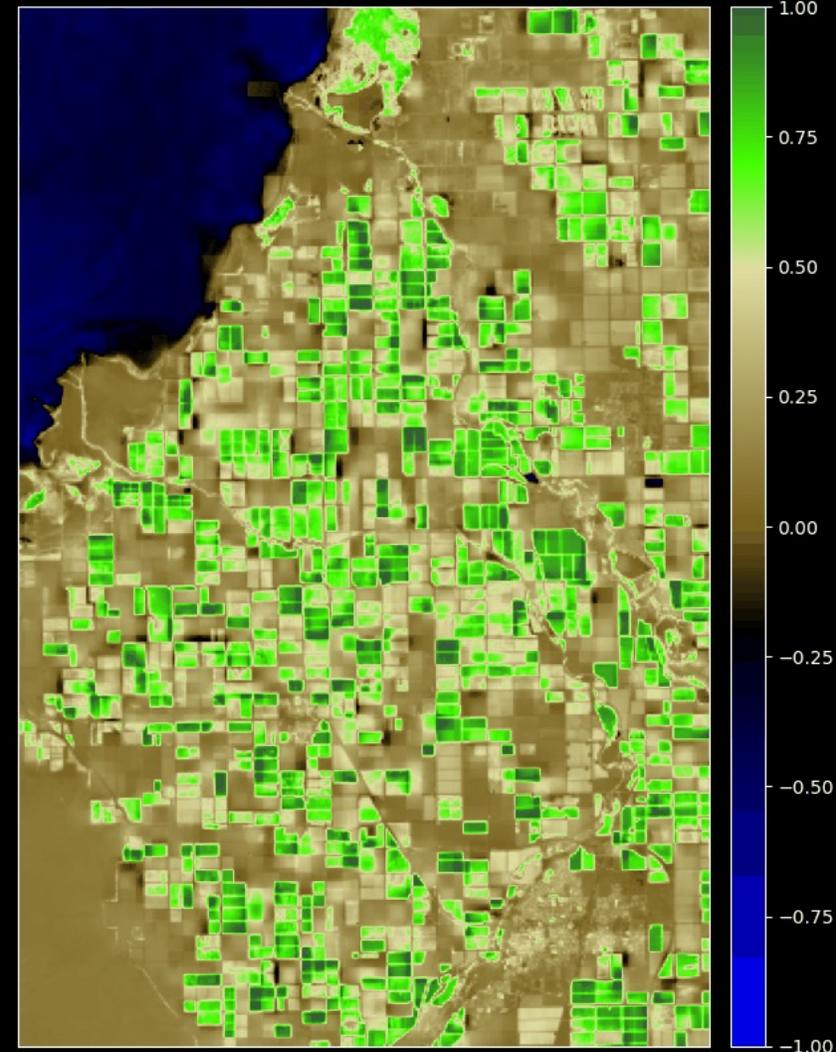
Sentinel NDVI 2020-06-29



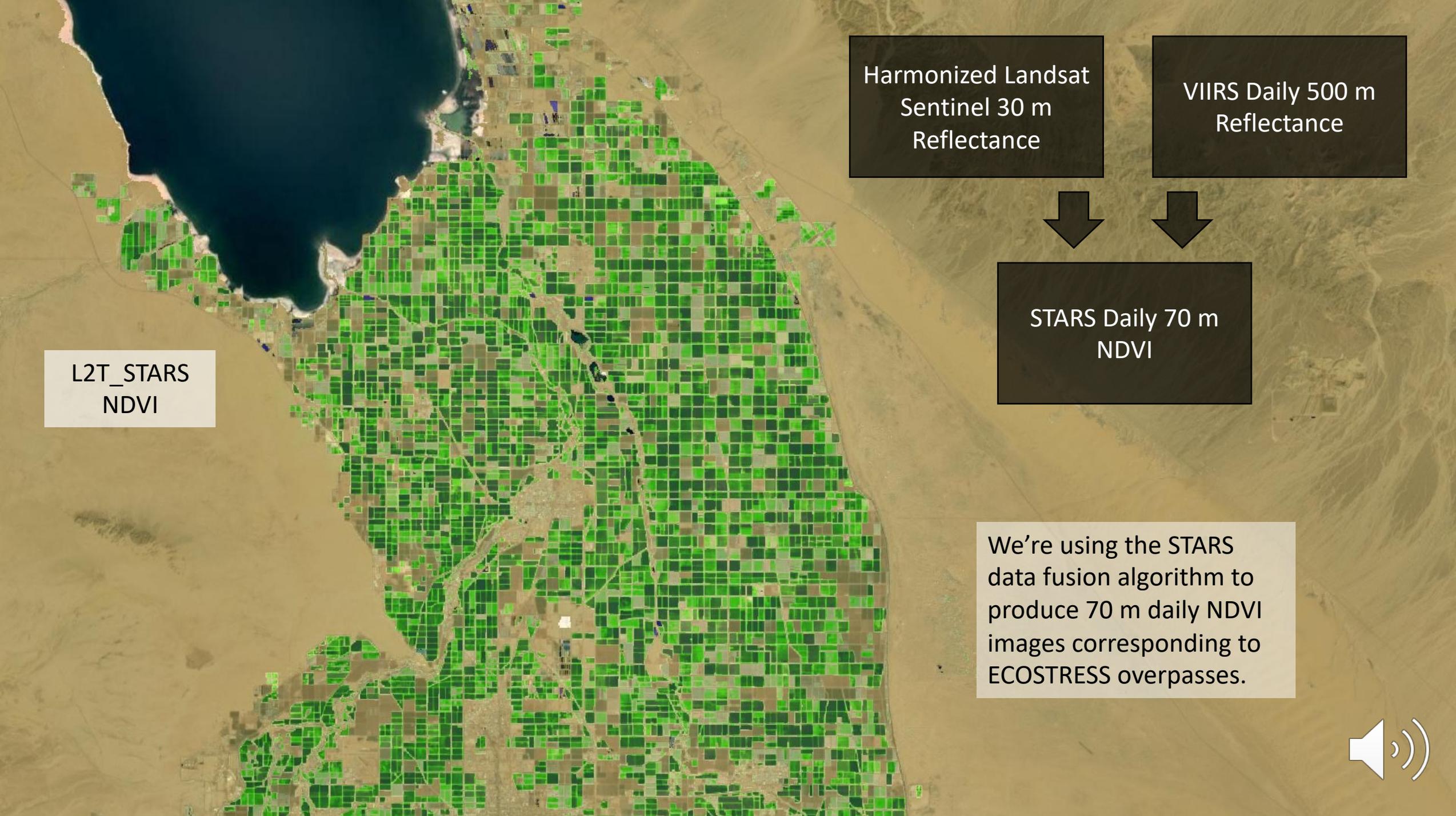
VIIRS NDVI 2020-07-01



STARS NDVI 2020-07-01



To mitigate this problem, we have developed a new data fusion technique called STARS that allows us to combine the 30m spatial resolution of Harmonized Landsat Sentinel with the daily temporal resolution of VIIRS to generate 70 m daily products



Harmonized Landsat
Sentinel 30 m
Reflectance

VIIRS Daily 500 m
Reflectance

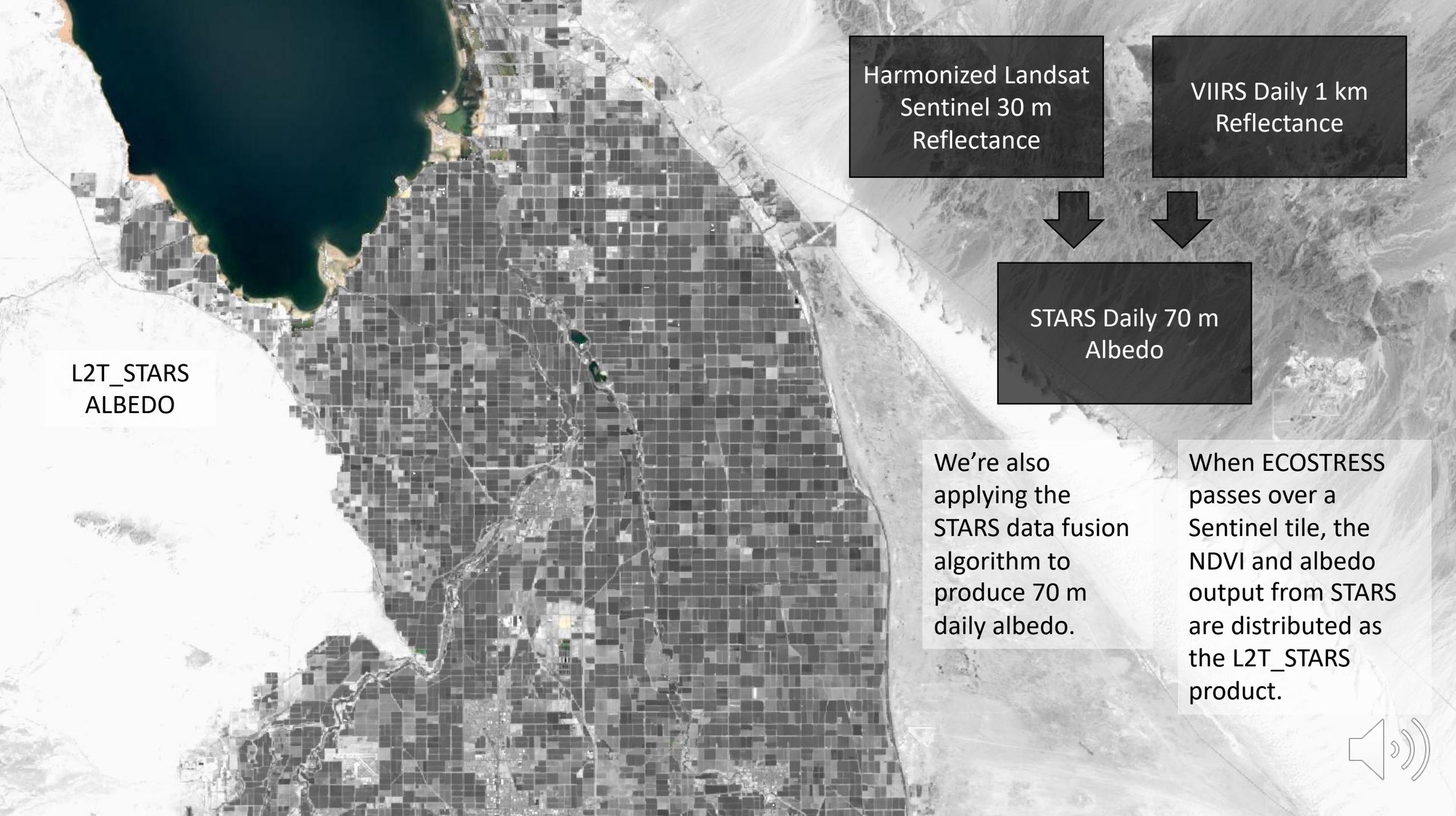


STARS Daily 70 m
NDVI

L2T_STARS
NDVI

We're using the STARS
data fusion algorithm to
produce 70 m daily NDVI
images corresponding to
ECOSTRESS overpasses.





Harmonized Landsat
Sentinel 30 m
Reflectance

VIIRS Daily 1 km
Reflectance



STARS Daily 70 m
Albedo

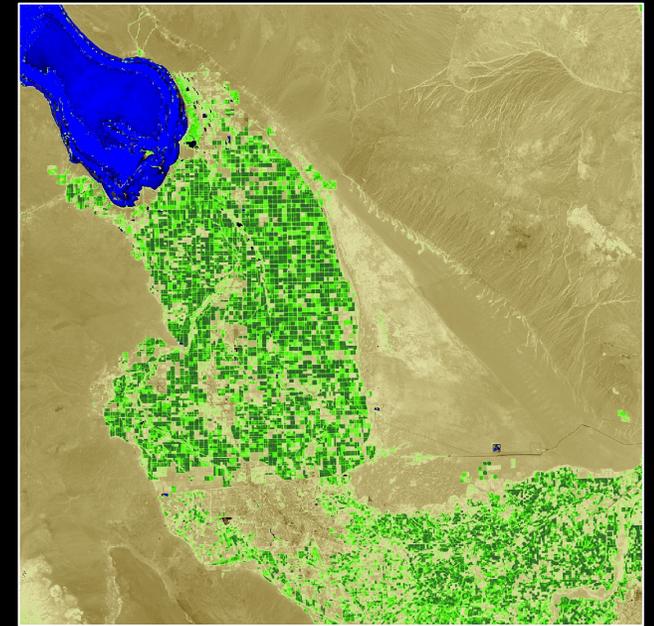
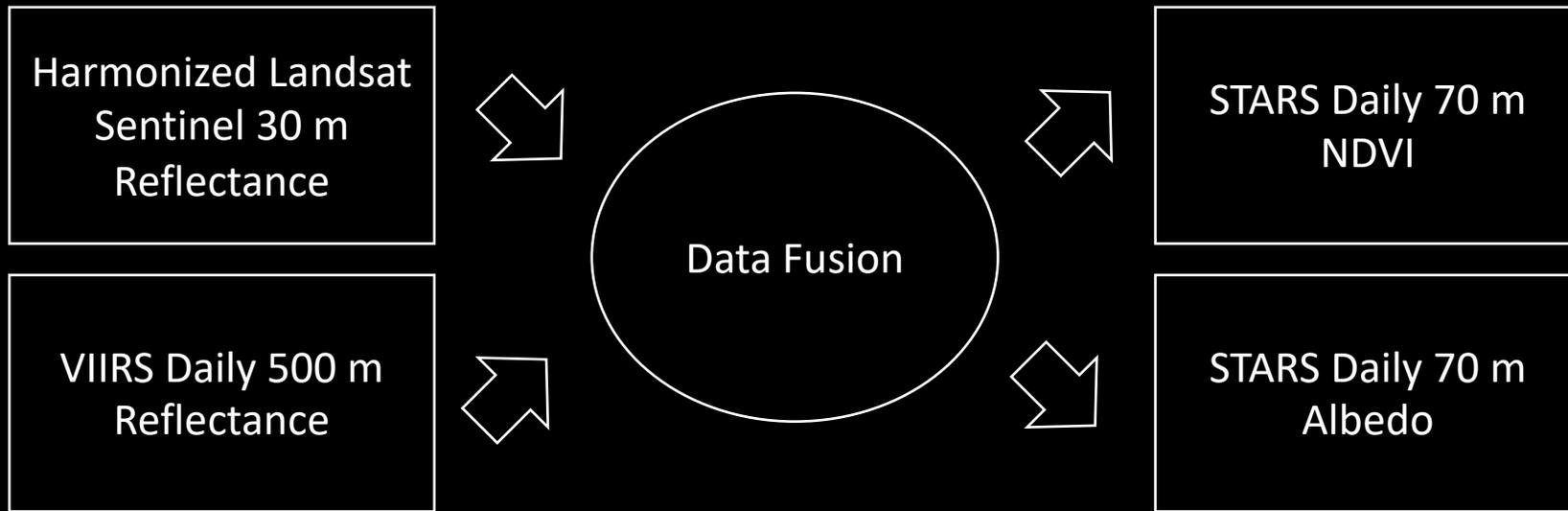
L2T_STARS
ALBEDO

We're also applying the STARS data fusion algorithm to produce 70 m daily albedo.

When ECOSTRESS passes over a Sentinel tile, the NDVI and albedo output from STARS are distributed as the L2T_STARS product.



L2T_STARS



The Spatial Timeseries for Automated high-Resolution multi-Sensor data fusion (STARS) algorithm is used to generate a best estimate of Normalized Difference Vegetation Index (NDVI) and albedo on the day of each ECOSTRESS overpass. We use an initial version of the STARS algorithm developed through ROSES to fuse temporally sparse but fine spatial resolution images from the Harmonized Landsat Sentinel (HLS) 2.0 product with daily temporal resolution but coarse spatial resolution images from the Suomi NPP Visible Infrared Imaging Radiometer Suite (VIIRS) VNP09GA product.

Each STARS tile run loads the prior covariances recorded from the most recent tile run and advances the model each day with fine updates from HLS and coarse updates from VIIRS up to the day of the target ECOSTRESS overpass. The daily coarse updates are generated as a 16-day aggregate of VNP09GA using the VNP43 algorithm for BRDF correction.

Please refer to Maggie's talk for more about the STARS algorithm.

Name	Type	Units
NDVI	float32	index: -1 to 1
NDVI-UQ		
albedo	float32	proportion: 0 to 1
albedo-UQ		

Air Temperature

L3T_MET
Air Temperature

We are statistically downscaling GEOS-5 FP near-surface air temperature, using ECOSTRESS surface temperature and STARS NDVI and albedo, to generate 70 m air temperature images. These images will be distributed in the L3T_MET product.



Relative Humidity

L3T_MET
Relative
Humidity

We are also downscaling GEOS-5 FP relative, using ECOSTRESS surface temperature and STARS NDVI and albedo. These 70 m images of relative humidity will also be distributed in the L3T_MET product.



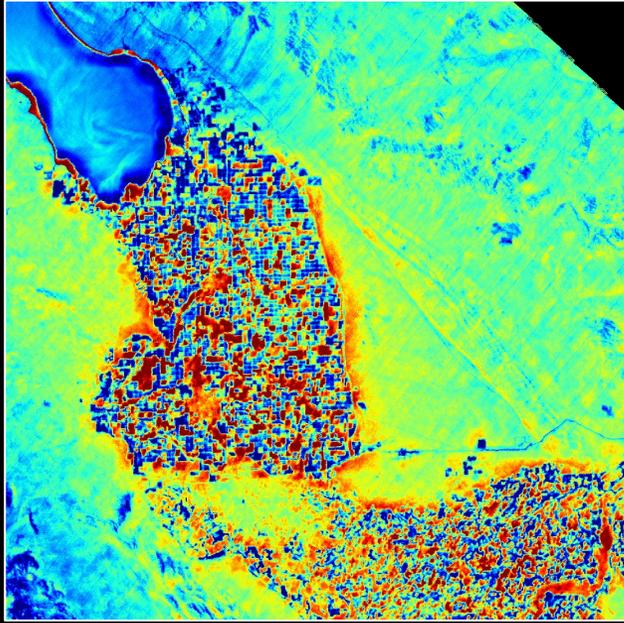
Soil Moisture

L3T_SM
Soil Moisture

We are also downscaling GEOS-5 FP soil moisture using ECOSTRESS surface temperature and STARS NDVI and albedo. These 70 m images of relative humidity will be distributed in the L3T_SM product.



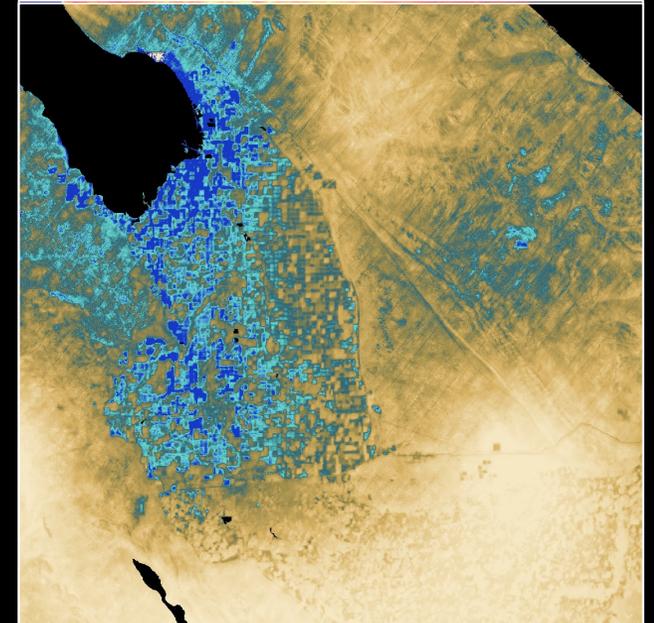
L3T_MET



Coarse resolution near-surface air temperature (Ta) and relative humidity (RH) are taken from the GEOS-5 FP tavg1_2d_slv_Nx product. Ta and RH are down-scaled using a linear regression between up-sampled ST, NDVI, and albedo as predictor variables to Ta or RH from GEOS-5 FP as a response variable, within each Sentinel tile. These regression coefficients are then applied to the 70 m ST, NDVI, and albedo, and this first-pass estimate is then bias-corrected to the coarse image from GEOS-5 FP. This same down-scaling procedure is applied to soil moisture (SM) from the GEOS-5 FP tavg1_2d_Ind_Nx product. Areas of cloud are filled in with bi-cubically resampled GEOS-5 FP.

Name	Type	Units
Ta	float32	Celsius
RH		proportion: 0 to 1
cloud	uint8	mask
water		

L3T_SM



Name	Type	Units
SM	float32	proportion: 0 to 1
cloud	uint8	mask
water		

Net Radiation

L3T_SEB
Net Radiation

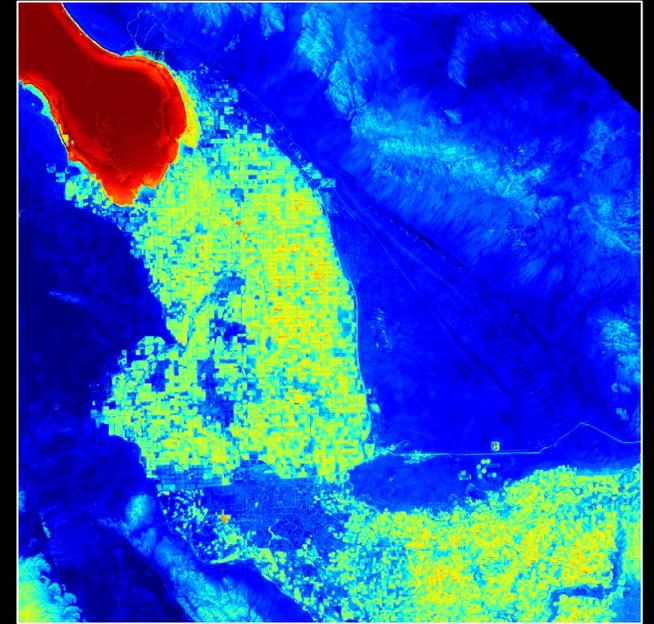
We would like to cultivate a community of end-user surface energy balance modeling and enable downstream processing of additional evapotranspiration models. We invite you to consider the ECOSTRESS Collection 2 products as inputs to your evapotranspiration algorithm. In addition to the land surface properties, near-surface meteorology and soil moisture that we are distributing as products, we will also distribute instantaneous net radiation in the L3T_SEB product.



L3T_SEB

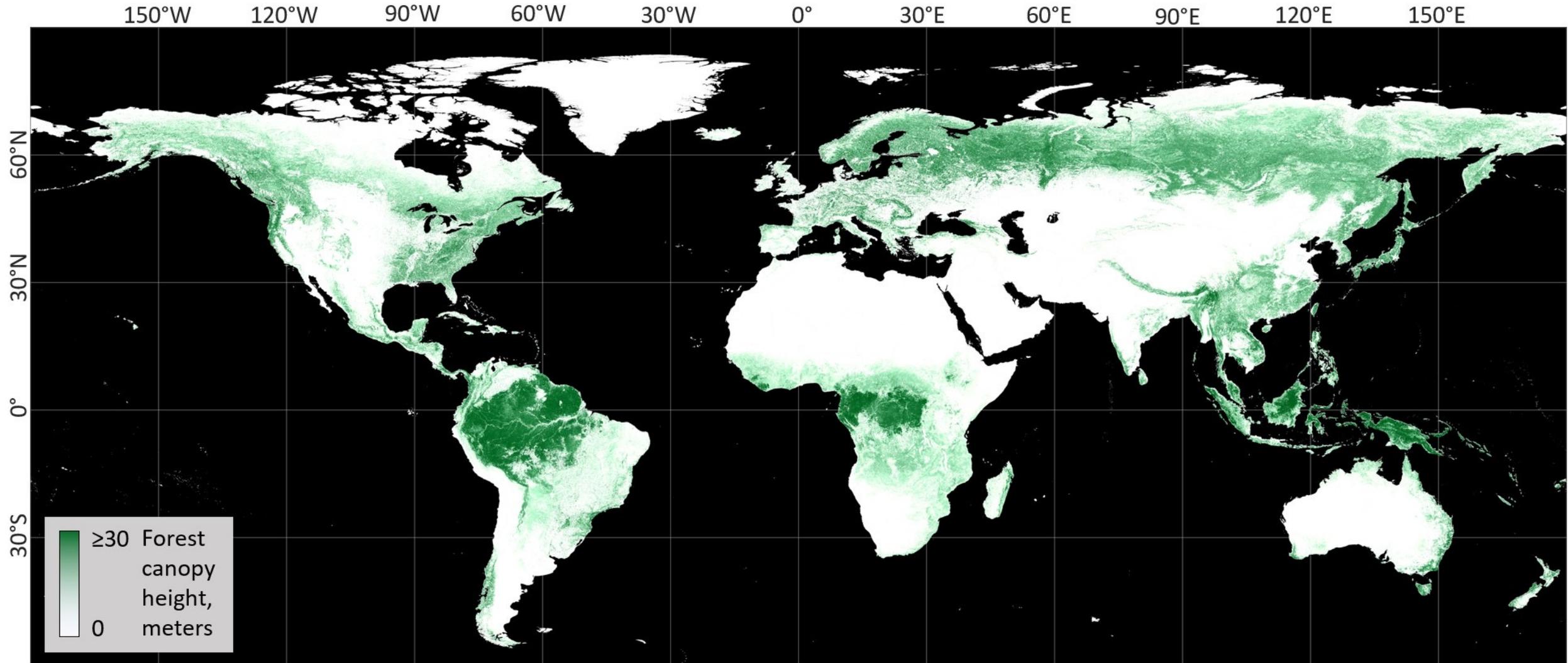
The JET surface energy balance workflow begins with an artificial neural network (ANN) implementation of the Forest Light Environmental Simulator (FLiES) radiative transfer algorithm, following the workflow established by Dr. Hideki Kobayashi and Dr. Youngryel Ryu. GEOS-5 FP provides sub-daily Cloud Optical Thickness (COT) in the `tavg1_2d_rad_Nx` product and Aerosol Optical Thickness (AOT) from `tavg3_2d_aer_Nx`. Together with STARS albedo, these variables are run through the ANN implementation of FLiES to estimate incoming shortwave radiation (R_g), bias-corrected to R_g from the GEOS-5 FP `tavg1_2d_rad_Nx` product.

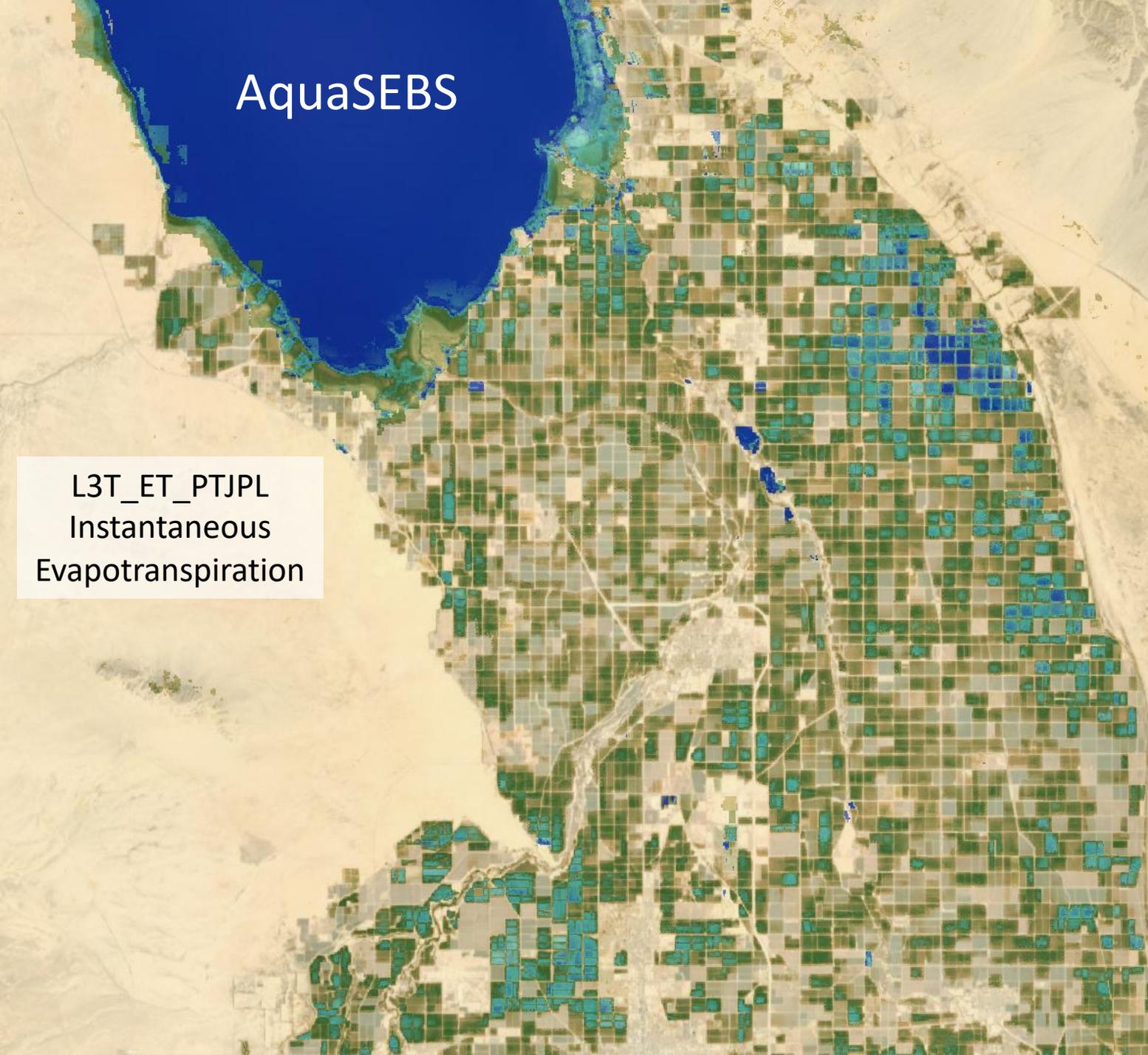
The Breathing Earth System Simulator (BESS) algorithm, contributed by Dr. Youngryel Ryu, iteratively calculates net radiation (R_n), ET, and Gross Primary Production (GPP) estimates. The BESS R_n is used as the R_n input to the remaining ET models and is recorded in the L3T SEB product.



Name	Type	Units
R_g	float32	$W\ m^2$
R_n		
cloud	uint8	mask
water		

The global 30 m map of canopy height produced by the GEDI mission facilitates improved estimates of evapotranspiration and gross primary production.





AquaSEBS

L3T_ET_PTJPL
Instantaneous
Evapotranspiration

PT-JPL-SM

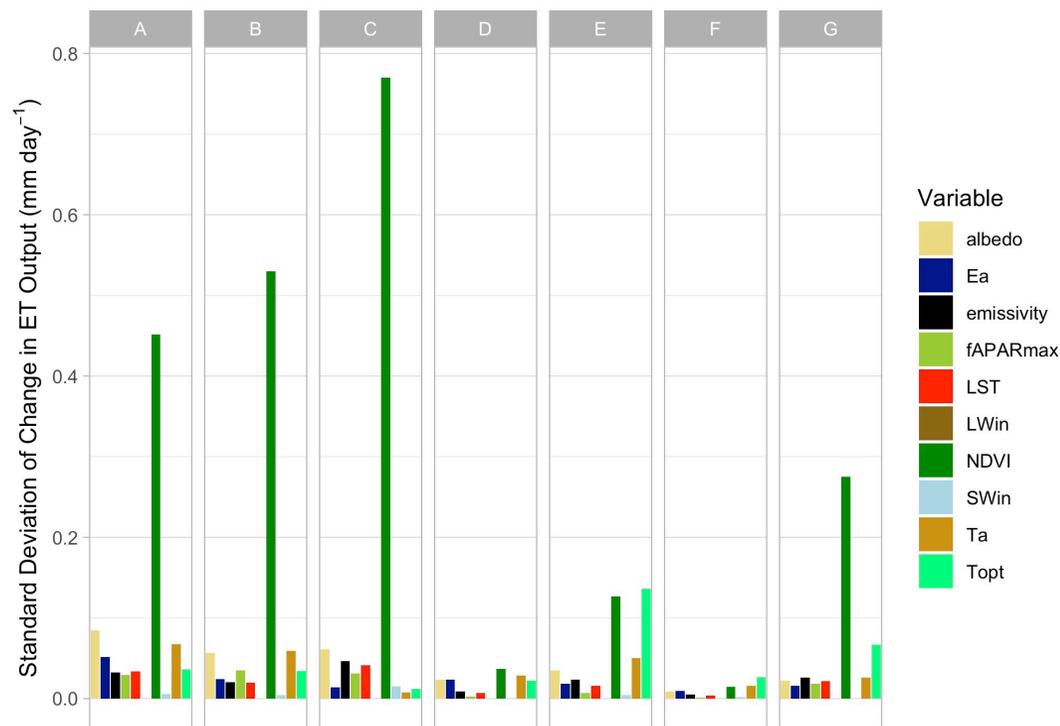
ECOSTRESS Collection 2 includes Adam Purdy's PT-JPL-SM model of evapotranspiration, also developed in coordination with SMP, which ingests soil moisture and canopy height to produce a more robust ET product than the PT-JPL model used in Collection 1. We are now also processing water surface evaporation using the AquaSEBS model of water heat flux, as demonstrated in OpenET. These enhanced estimates of instantaneous and daily evapotranspiration will be distributed in the L3T_ET_PTJPL, and normalized indices of Evaporative Stress Index will be distributed in the L3T_ESI_PTJPL product.

Purdy, A.J., Fisher, J.B., Goulden, M.L., Colliander, A., Halverson, G., Tu, K., Famiglietti, J.S., (2018), [SMAP soil moisture improves global evapotranspiration](https://doi.org/10.1016/j.rse.2018.09.023). Remote Sensing of Environment 219: 1-14 <https://doi.org/10.1016/j.rse.2018.09.023>

Abdelrady, A.; Timmermans, J.; Vekerdy, Z.; Salama, M.S. Surface Energy Balance of Fresh and Saline Waters: AquaSEBS. *Remote Sens.* **2016**, *8*, 583. <https://doi.org/10.3390/rs8070583>

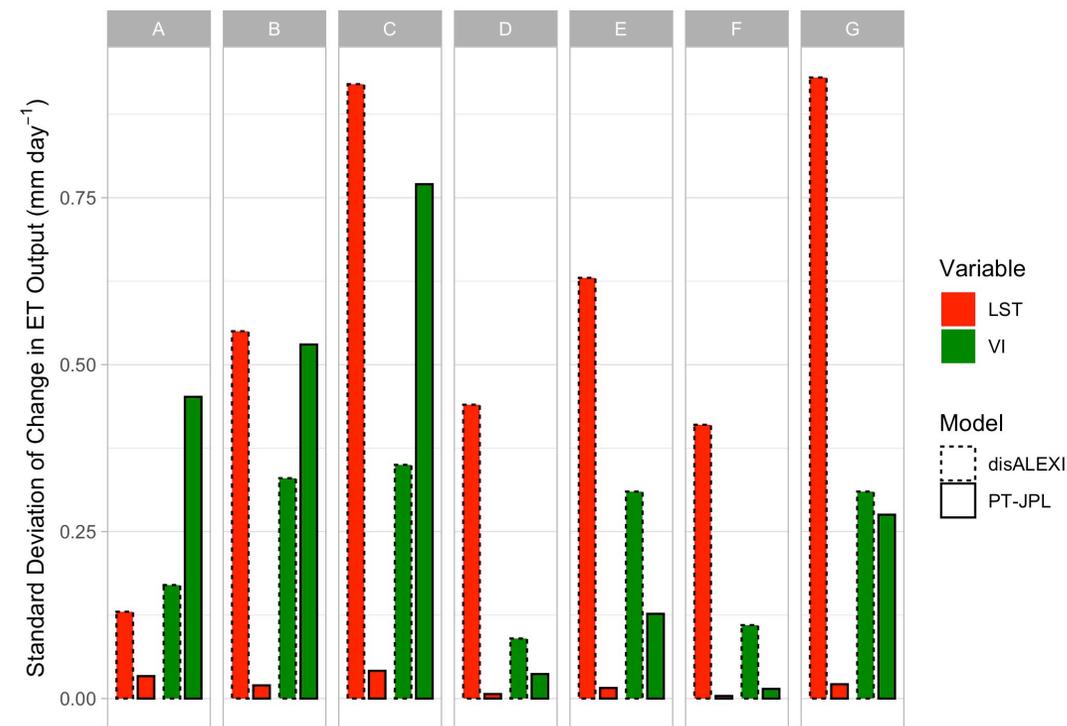


The advantage to using PT-JPL for ECOSTRESS evapotranspiration is its ability to distinguish transpiration from other forms of evaporation, but this comes at the cost of increased sensitivity to vegetation index. This sensitivity has prompted us to explore alternative models of evapotranspiration, including the Surface Temperature Initiated Closure (STIC) model.



Standard deviation of changes in PT-JPL ET output using target input variable perturbations and all other variables held fixed.

Halverson et al., *Statistical Uncertainty Quantification and Sensitivity Analysis for the ECOSTRESS PT-JPL Evapotranspiration Algorithm*, in preparation



Comparison of PT-JPL and disALEXI-JPL standard deviation of changes in ET output using surface temperature (LST) and vegetation index (VI) variable perturbations from the and all other variables held fixed.



JPL Evapotranspiration Ensemble (JET)

PT-JPL-SM



MOD16



BESS

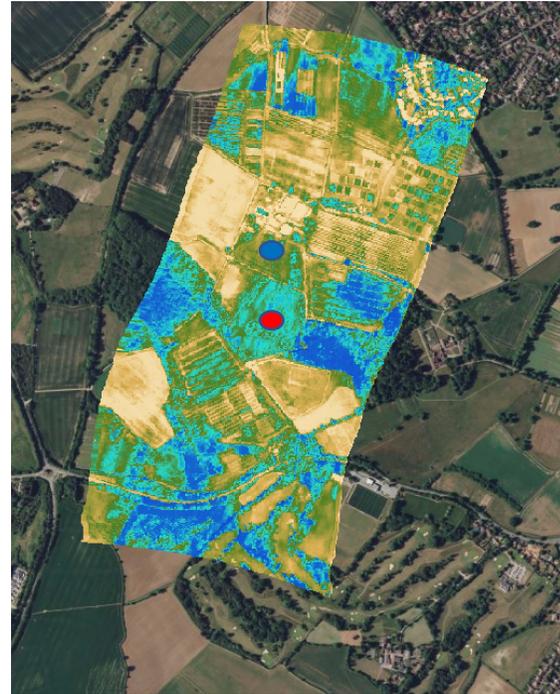


Collection 2 is expanding the PT-JPL ET product into the JPL Evapotranspiration Ensemble (JET) product, with multiple ET estimates including PT-JPL-SM, MOD16, adapted from the MODIS ET product, and BESS adapted from our GPP algorithm. This will allow the user to better interpret the uncertainty in the range of estimates between models. We are also including a surface temperature sensitive algorithm called STIC.



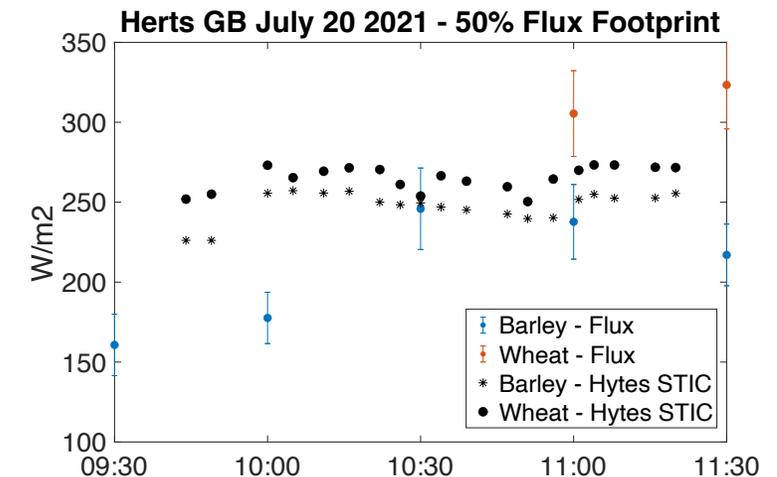
Surface Temperature Initiated Closure (STIC)

The JET product includes an ECOSTRESS implementation of the Surface Temperature Initiated Closure (STIC) model of evapotranspiration. The STIC model takes surface temperature into account directly in Penman-Monteith, improving sensitivity of evapotranspiration to surface temperature. We hope that STIC can complement PT-JPL-SM as a thermally sensitive evapotranspiration estimate that can help us reveal the diurnal cycle of thermal plant stress. Madeleine has been working with Kaniska Mallick, experimenting with processing the STIC model using HyTES surface temperature as a proxy for ECOSTRESS, SBG, TRISHNA, and LSTM, and comparing this initial output to eddy covariance data.



- Barley Flux Tower
- Wheat Flux Tower

Pascolini-Campbell, M. et al.



K. Mallick *et al.*, "Surface Temperature Initiated Closure (STIC) for surface energy balance fluxes" in *Remote Sensing of Environment*

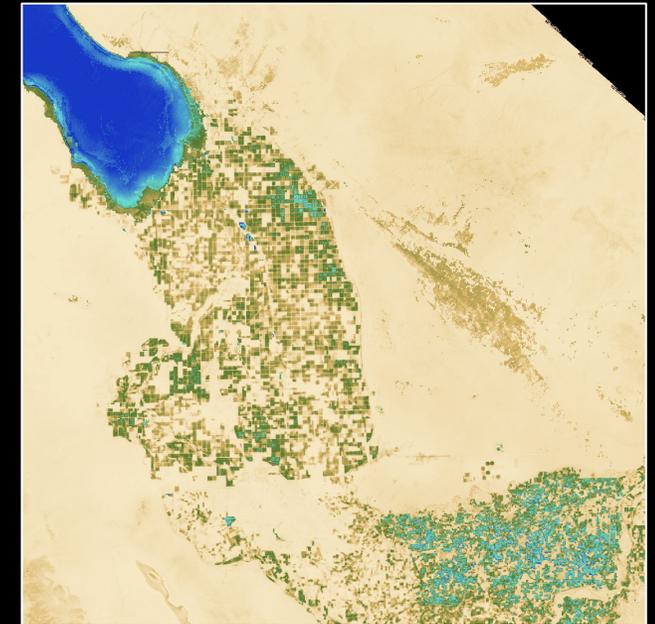
L3T_JET

The PT-JPL-SM model, developed by Dr. Adam Purdy and Dr. Joshua Fisher was designed as a SM-sensitive evapotranspiration product for the Soil Moisture Active-Passive (SMAP) mission, and then reimplemented as an ET model in the ECOSTRESS ensemble, using the downscaled soil moisture from the L3T SM product. Similar to the PT-JPL model used in ECOSTRESS Collection 1, The PT-JPL-SM model estimates instantaneous canopy transpiration, leaf surface evaporation, and soil moisture evaporation using the Priestley-Taylor formula with a set of constraints. The total instantaneous ET estimate combining these three partitions is recorded in the L3T JET product as PTJPLSMinst. The proportion of instantaneous canopy transpiration is recorded as PTJPLSMcanopy, leaf surface evaporation as PTJPLSMinterception, and soil moisture as PTJPLSMsoil.

The Surface Temperature Initiated Closure (STIC) model, contributed by Dr. Kaniska Mallick, was designed as an ST-sensitive ET model, adopted by ECOSTRESS for improved diurnal estimates of ET. The STIC instantaneous ET is recorded in the L3T JET product as STICinst.

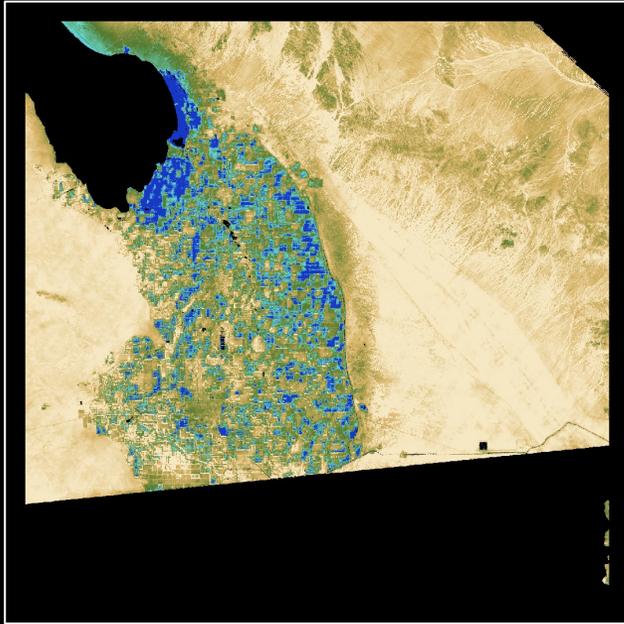
The MOD16 algorithm was designed as the ET product for the Moderate Resolution Imaging Spectroradiometer (MODIS). MOD16 uses a similar approach to PT-JPL and PT-JPL-SM to independently estimate vegetation and soil components of instantaneous ET, but using the Penman-Monteith formula instead of Priestley-Taylor. It is provided here as an additional estimate in the L3T JET product, MOD16inst.

The ET estimate from BESS is recorded in the L3T JET product as BESSinst. The median of PTJPLSMinst, STICinst, MOD16inst, and BESSinst is upscaled to a daily ET estimate in millimeters per day and recorded in the L3T JET product as ETdaily. The standard deviation between these multiple estimates of ET is considered the uncertainty for the ECOSTRESS evapotranspiration product, as ETinstUncertainty.



Name	Type	Units
ETdaily	float32	W m ⁻²
ETinstUncertainty		
PTJPLSMinst		
STICinst		
MOD16inst		
BESSinst	uint8	percent: 0 to 100
PTJPLSMcanopy		
PTJPLSMinterception		
PTJPLSMsoil	uint8	mask
cloud		
water		

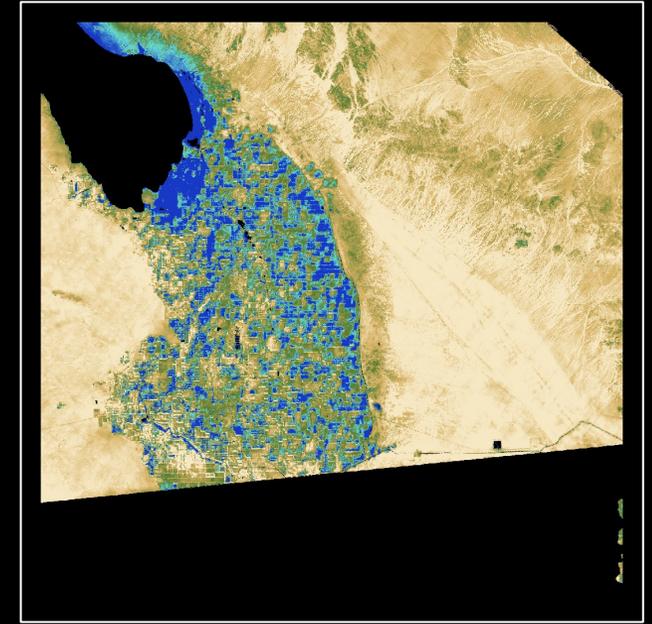
L4T_ET_ALEXI



Name	Type	Units
ETdaily	float32	mm/day
ETdailyUncertainty	float32	mm/day

In addition to the ensemble product containing PT-JPL-SM, STIC, MOD16, and BESS estimates of ET, there is a separate ET product for DisALEXI-JPL. This product is run independently because it is limited to processing within the United States and is prone to unavailable input data. Contributed by Martha Anderson of the United States Department of Agriculture (USDA), DisALEXI-JPL takes an iterative approach to mapping of fine spatial resolution ET based on surface temperature. DisALEXI-JPL ingests the coarse resolution ET images produced by the Atmospheric Land Exchange Interface (ALEXI) model and downscales them using ECOSTRESS ST by running the Two-Source Energy Balance (TSEB) ET model using the ST image from ECOSTRESS, NDVI and albedo from STARS, and meteorology from Climate Forecast System Reanalysis (CSFR). The fine spatial resolution ET output from each TSEB run is compared to the coarse resolution image from ALEXI, and an adjustment is applied to the air temperature input before running TSEB again. This spatial disaggregation approach to ET estimation produces daily ET images that are sensitive to ECOSTRESS ST, but this product does not contain an instantaneous estimate of ET for diurnal analysis. Daily ET in millimeters per day with uncertainty is written to the L3T ET ALEXI product, and ESI with uncertainty is written to the L4T ESI ALEXI product.

L4T_ESI_ALEXI



Name	Type	Units
ESIdaily	float32	ratio: 0 to 1
ESIdailyUncertainty	float32	ratio: 0 to 1

Breathing Earth Systems Simulator

L4T_WUE
Gross Primary
Production

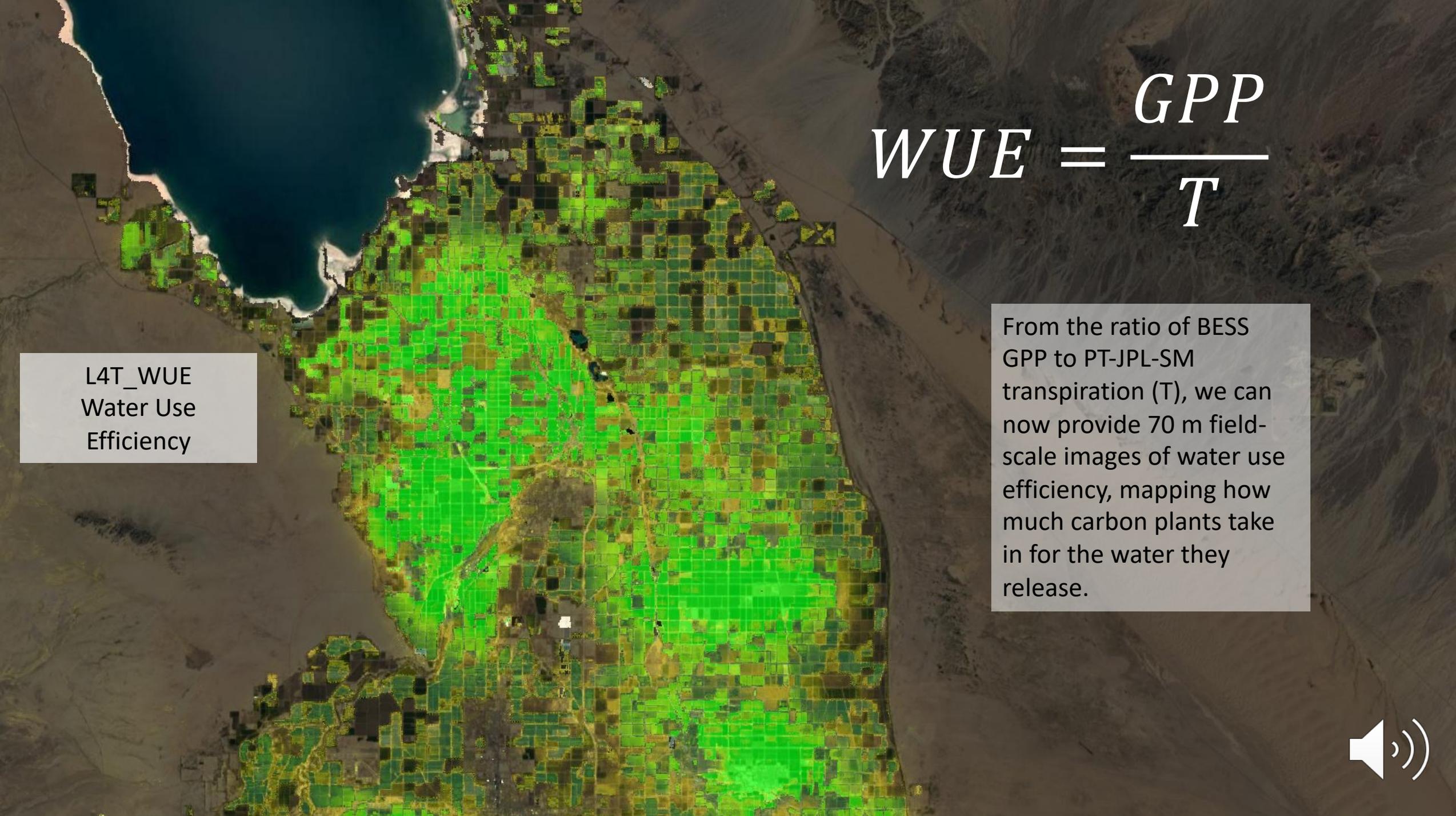
To provide spatially and temporally matching carbon estimates for ECOSTRESS, we adapted the Breathing Earth System Simulator (BESS) model.

The BESS model of photosynthesis estimates 70 m images of instantaneous gross primary production (GPP), distributed in the L4T_WUE product.

[Multi-scale evaluation of global gross primary productivity and evapotranspiration products derived from Breathing Earth System Simulator \(BESS\)](#) C Jiang, Y Ryu

Remote Sensing of Environment 186, 528-541



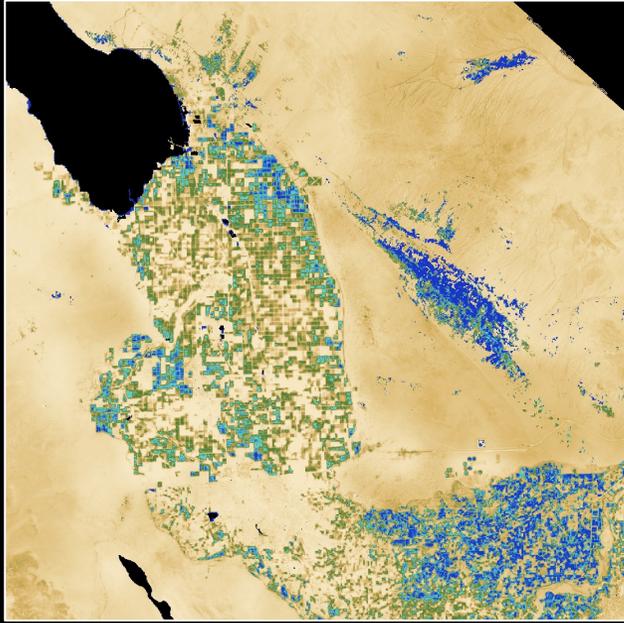

$$WUE = \frac{GPP}{T}$$

L4T_WUE
Water Use
Efficiency

From the ratio of BESS GPP to PT-JPL-SM transpiration (T), we can now provide 70 m field-scale images of water use efficiency, mapping how much carbon plants take in for the water they release.



L4T_ESI

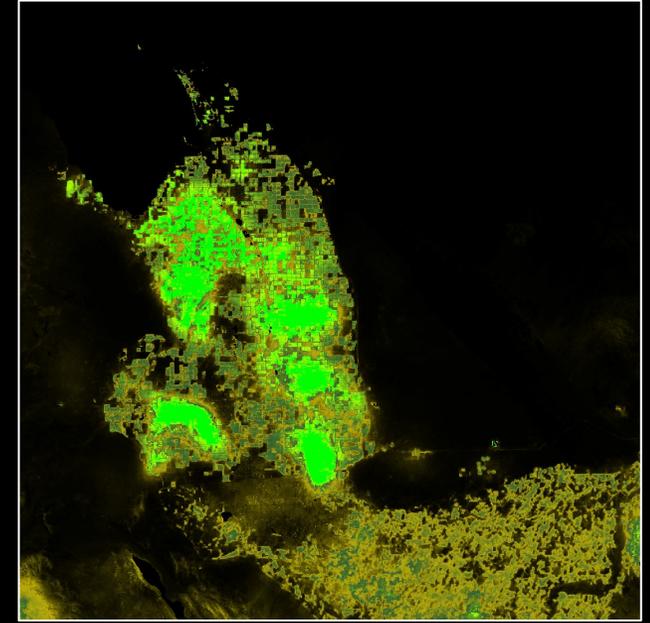


The PT-JPL-SM model generates estimates of both actual and potential instantaneous ET. The potential evapotranspiration (PET) estimate represents the maximum expected ET if there were no water stress to plants on the ground. The ratio of the actual ET estimate to the PET estimate forms an index representing the water stress of plants, with zero being fully stressed with no observable ET and one being non-stressed with ET reaching PET. These ESI and PET estimates are distributed in the L4T ESI product.

The BESS GPP estimate represents the amount of carbon that plants are taking in. The transpiration component of PT-JPL-SM represents the amount of water that plants are releasing. The BESS GPP is divided by the PT-JPL-SM transpiration to estimate water use efficiency (WUE), the ratio of grams of carbon that plants take in to kilograms of water that plants release. These WUE and GPP estimates are distributed in the L4T ESI product.

Name	Type	Units
ESI	float32	ratio: 0 to 1
PET	float32	W m ⁻²
cloud	uint8	mask
water	uint8	mask

L4T_WUE



Name	Type	Units
WUE	float32	g C kg ⁻¹ H ₂ O
GPP	float32	μmol m ⁻² s ⁻¹
cloud	uint8	mask
water	uint8	mask



The development of these improved algorithms and implementation of these tiled data products demonstrates a feasible and cloud-enabled work-flow for the future SBG suite of surface energy balance products, coordinated with TRISHNA and LSTM.

These tiled products facilitate new opportunities for near-real time monitoring of high-resolution temperature and plant stress and allow greater ease of use for data analysis and science.



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 - DisALEXI-JPL development
- Youngryel Ryu (Seoul National University)
 - BESS carbon model development
- Maggie Johnson
 - STARS data fusion model development
- Andreas Colliander
 - Soil moisture downscaling method development
- Madeleine Pascolini-Campbell
 - STIC evapotranspiration model development
- Kerry Cawse-Nicholson
 - DisALEXI-JPL evapotranspiration model development
- Christine Lee
 - Early User Community

ECOSTRESS Collection 2 Level 1 to 4 Tiled Products

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